

Department: Investigation	Segment: All
Circular No: MSE/ID/16176/2024	Date: October 16, 2024

Subject: SEBI Corrigendum to Final Order dated 24.01.2022 in the matter of Unregistered Investment Advisory Services by Capital Exchange India

To All Members,

This is with reference to Exchange Circular no. MSE/ID/11413/2022 dated January 25, 2022 regarding SEBI Order no. WTM/AB/WRO/WRO/14742/2021-22 dated January 24, 2022, in the matter of Unregistered Investment Advisory Services by Capital Exchange India, wherein SEBI has restrained below mentioned entity from accessing the securities market and are further prohibited from buying, selling, or otherwise dealing in securities, either directly or indirectly, whatsoever, for the period mentioned in SEBI order.

Sr.no.	Name	PAN
1	Mr. Ankit Mishra	BBPPM7624F

SEBI vide Corrigendum no. WTM/KV/WRO/WRO/30866/2024-25 dated October 15, 2024 has informed that the Permanent Account Number (PAN) of Mr. Ankit Mishra (Noticee No.3) has inadvertently been mentioned as "BBPPM7624F", instead of "DPPPM7624F" and directed that the PAN of Mr. Ankit Mishra (Noticee No.3) as appearing in the aforesaid order shall be read as "DPPPM7624F".

This order shall come into force with immediate effect.

Members of the Exchange are advised to take note of the full text of the order available on SEBI's website [www.sebi.gov.in] and ensure compliance.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Vipul Vaishnav
Assistant Vice President**

Metropolitan Stock Exchange of India Limited

**BEFORE THE SECURITIES AND EXCHANGE BOARD OF INDIA
KAMLESH C. VARSHNEY, WHOLE TIME MEMBER
CORRIGENDUM**

**CORRIGENDUM TO THE FINAL ORDER DATED JANUARY 24, 2022 BEARING
REFERENCE NUMBER WTM/AB/WRO/WRO/14742/2021-22 IN THE MATTER OF
UNREGISTERED INVESTMENT ADVISORY SERVICES BY CAPITAL EXCHANGE INDIA**

1. Securities and Exchange Board of India has passed Final Order dated January 24, 2022, bearing reference number WTM/AB/WRO /WRO/14742/2021-22 in the matter of Unregistered Investment Advisory Services by Capital Exchange India.
2. In the aforesaid final order, the Permanent Account Number (PAN) of Mr. Ankit Mishra (Noticee No.3) has inadvertently been mentioned as “BBPPM7624F”, instead of “DPPPM7624F”.
3. It is hereby directed that the PAN of Mr. Ankit Mishra (Noticee No.3) as appearing in the aforesaid order shall be read as “DPPPM7624F”. Accordingly, all the directions issued against Mr. Ankit Mishra (Noticee No.3) in the aforesaid order, shall apply to Mr. Ankit Mishra (Noticee No.3) having PAN “DPPPM7624F”.
4. The aforesaid Final Order shall always be read with this Corrigendum.
5. A copy of this corrigendum shall be served on the Stock Exchanges, the relevant Banks, Depositories, Registrar and Transfer Agents and Mr. Ankit Mishra (having PAN-DPPPM7624F) for their information and necessary action.

**DATE : October 15, 2024
PLACE: MUMBAI**

**Sd/-
KAMLESH C. VARSHNEY
WHOLE TIME MEMBER
SECURITIES AND EXCHANGE BOARD OF INDIA**