

Department: Inspection	Segment: All
Circular No: MSE/INSP/15388/2024	Date: May 21, 2024

Subject: Reminder for Submission of Internal Audit Report (IAR) and Risk Based Supervision (RBS) for the period ended March 31, 2024

To All Members,

This has reference to the Exchange Circulars Nos. MSE/INSP/15214/2024 dated April 19, 2024 on "Internal Audit of Trading Members" and MSE/INSP/15214/2024 dated April 18, 2024 on "Risk Based Supervision (RBS) - Submission of information for the period April 01, 2023 – March 31, 2024".

In this regard, Members are once again reminded to submit the below mentioned submissions to the Exchange through web-based application on or before **May 31, 2024**.

Sr. No.	Submissions	Period	Web Based Link
1	Internal Audit Report (IAR)	Oct 2023 - Mar 2024	https://inspection-os.msei.in/
2	Risk Based Supervision (RBS)	Apr 2023 - Mar 2024	https://enhancedsupervision.msei.in/

In case of any Non-Submission / Late Submission by a Member, disciplinary action will be initiated as specified in their respective circulars.

Members are advised to take note of the above and comply.

In case of any query kindly contact Inspection Department on (022) 6112 9000 or mail on compliancemsx@msei.in.

**For and on behalf of
Metropolitan Stock Exchange of India Limited**

**Praveenkumar Acharya
AVP – Inspection and Membership Department**