ANNEXURE – A

(To be on the letterhead of the Member)

Submission of Compliance Certificate-Freezing/Blocking of Account

Member Name: _____

Member Code: _____

Applicability: Yes / No

Declaration

I/We, _____. hereby confirm and declare that the provisions of the SEBI Circular no SEB/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024, and MSE Circular No MSE/INSP/15168/2024 dated April 09, 2024 on "Framework for Trading Members to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients is ***Not Applicable*** *to me/us in any manner. Further, we shall comply with the provisions of the aforesaid circular as and when the same becomes applicable to us.*

We hereby confirm that the above-mentioned details are true and correct.

For M/s._____

Name of Compliance Officer / Designated Director

Signature of Compliance Officer / Designated Director

Date:

Place: