METROPOLITAN STOCK EXCHANGE

Department: Inspection	Segment: All
Circular No: MSE/INSP/15665/2024	Date : July 15, 2024

Subject: Facility of voluntary freezing/blocking of Trading Accounts by Clients

To All Members,

Attention is drawn to SEBI Circular No. SEBI/HO/MIRSD/POD-1/P/CIR/2024/4 dated January 12, 2024 on "Ease of Doing Investments by Investors - Facility of voluntary freezing/blocking of Trading Accounts by Clients" and Exchange Circular No. MSE/INSP/15168/2024 dated April 09, 2024 on "Framework for Trading Members to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients".

Vide the aforesaid circulars, Members have been advised to formulate the policy in line with the framework prescribed by the Exchange and Members were also advised to provide the facility of voluntary freezing/blocking the online access of the trading account to their clients with effect from July 01, 2024.

Accordingly, Members are required to submit the following declaration & compliance status to the Exchange (as applicable).

- **Annexure A –** In case the provision of circular is **Not Applicable** to the Member.
- **Annexure B –** In case Member have **Non-Complied** with the provisions of the circular.
- **Annexure C –** In case Member have **Complied** with the provisions of the circular.

The Members are advised to submit the declaration form above to the Exchange mail ID compliancemsx@msei.in latest by **July 22, 2024.**

Kindly note that, below mentioned details are required to be updated by member.

- Applicability
- Compliance Status
- Minimum two Modes for placing request
- Place

If the circular is applicable to the member and the member has complied with the provisions of the circular then RMS Policy & proof of compliance need to be provided along with declaration.

In case of any query kindly contact Inspection Department on (022) 6112 9000 or mail on compliancemsx@msei.in

For and on behalf of
Metropolitan Stock Exchange of India Limited

Praveenkumar Acharya
AVP – Inspection and Membership Department