

<b>Department: Membership</b>	<b>Segment: All</b>
<b>Circular No: MSE/MEM/14134/2023</b>	<b>Date : September 14, 2023</b>

**Subject: Submission of Annual Returns for the Financial Year ended on March 31, 2023**

To All Members,

In terms of the provisions of Rules, Bye-Laws and Regulations of the Metropolitan Stock Exchange of India limited, all registered Members are required to submit the Annual Returns for the financial year ended on March 31, 2023, comprising of the following:

**Submission - PART A**

Sr.	Documents / Details	Annexures
1	Audited Networth Certificate & Computation of Networth	Annexure 1
2	Clarification for computation of Networth	Annexure 1.1
3	Audited Balance Sheet along with the schedules	As prescribed under Companies Act, 2013
4	Audited Profit & loss account along with schedules	
5	Auditors and Directors report	
6	Financial Strength Details	Annexure 2

**Submission - PART B**

Sr.	Documents / Details	Annexures
1	Standard Undertaking	Annexure C
2	Undertaking regarding <u>changes/no changes</u>	Annexure D
3	Details of Directors/ Partners/ Proprietor	Annexure E
4	Shareholding Pattern/ Partnership Pattern	Annexure F
5	Contact Details	Annexure G
6	Details regarding Membership of other Stock Exchanges	Annexure H

**PART – C**

Sr.	Documents / Details	Annexures
1	Networth Requirements & Action for Non-Compliance	Annexure 3

In case there are no changes in details mentioned in the above documents from ‘Annexure E to Annexure H’, in comparison to the details submitted for FY 2021-22, members are required to submit only undertaking ‘Annexure D’.

**Metropolitan Stock Exchange of India Limited**



METROPOLITAN STOCK EXCHANGE

As per SEBI circular SEBI/HO/MIRSD/MIRSD2/CIR/P/2016/95 dated Sep 26, 2016,

- Stock brokers shall submit financial statements to Stock Exchanges in the same format as prescribed under Companies Act, 2013 irrespective of whether they fall under the purview of Companies Act, 2013 or not.
- The due date for submission of the aforesaid financial statements to Stock Exchanges shall be the same as prescribed under Companies Act, 2013 for submission to Registrar of Companies.

**Accordingly, Members are required to submit their Annual Return with all the requisite documents to the Exchange on or before October 31, 2023.**

The formats for aforesaid documents to be submitted are available on the Exchange website under membership section and may be suitably used by the members. (<http://www.msei.in/members/membership/current-membership/annual-returns.aspx>)

Members are requested to take note of the following:

- Banks are required to submit the Networth certificate as per Annexure 1 and computation of Networth as per RBI guidelines.
- All other Members are required to submit the Networth Certificate and Computation of Networth in the SEBI prescribed format in Annexure 1
- All submissions should be strictly in the format specified.
- Members following accounting year other than that ending on March 31, 2023 are advised to submit the Annual Returns within a period of 6 months from the end of such accounting year.

Further, non-submission of any of the documents forming part of the Annual Return, documents not being in the prescribed format, Networth below the minimum Networth requirement will be treated as non-submission and non-compliance. Actions in case of non-submission, non-compliance is given in **Part – C, Annexure 3**.

All the members are requested to take note of the same.

For any clarifications, members may please contact Membership Department on 022- 61129000 and / or send an email at [annualreturns@msei.in](mailto:annualreturns@msei.in)

**For and on behalf of  
Metropolitan Stock Exchange of India Limited**

**Mahendra Choudhari  
AVP –Membership**

**Metropolitan Stock Exchange of India Limited**

Registered Office: 205A, 2nd Floor, Piramal Agastya Corporate Park, Kamani Junction, LBS Road, Kurla (West), Mumbai – 400070.

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